

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Group Art Unit:  
P. Richards, Jr. et al. : To Be Assigned  
Serial No.: To Be Assigned : IPLaw T81/B503  
: IBM Corporation  
Filed: Concurrently Herewith : PO Box 12195  
: RTP, NC 27709  
Title: Method for Providing Secure Access to Information Held in a Shared Repository  
**INFORMATION DISCLOSURE STATEMENT**

11040 U.S. PTC  
10/082693  
02/22/02

*[Handwritten signature]*

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By: David R. Irvin  
David R. Irvin, Agent  
Reg. No. 42,682

DRI/ssc  
Docket: RSW920020021US1  
Phone: 919-543-7810 / Fax: 919-254-4330

List of Patents and Publications for  
Applicant's Information Disclosure Statement

APPLICANT: P. Richards, Jr. et al.

(Use several sheets if necessary)

FILING DATE: To be Assigned

GROUP: To Be Assigned

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## Reference Designation

## US PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
AA	6,157,954	12/05/00	Moon et al.	709/228	09/29/97
AB	6,269,369	07/31/01	Robertson	707/10	11/02/97
AC	6,175,741	01/16/01	Alperovich	455/458	12/30/98
AD	5,732,229	03/24/98	Dickinson	395/334	06/13/96
AE	6,055,512	04/25/00	Dean et al.	705/17	07/08/97
AF	6,205,478	03/20/01	Sugano et al.	709/223	12/30/98
AG	5,915,001	06/22/99	Uppaluru	379/88.22	11/14/96
AH	0027472A1	10/04/01	Guan	709/203	03/26/01

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class/Subclass	Translation Yes No
AK	WO0159595	08/16/01	WIPO	G06F 17/00	X

## OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)

Examiner	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.